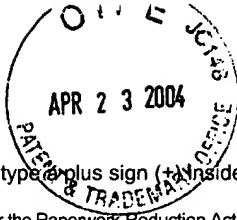


Image



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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|---|----------------------|-------------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/939,848 |
| | Filing Date | August 27, 2001 |
| | First Named Inventor | Blalock et al. |
| | Group Art Unit | 2879 |
| | Examiner Name | S. Leurig |
| Attorney Docket Number | | 2269-3578.1US (92-0555.00/US) |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input checked="" type="checkbox"/> Postcard receipt acknowledgment (attached to the front of this transmittal) | <input type="checkbox"/> Information Disclosure Statement, PTO/SB/08A (08-00); <input type="checkbox"/> copy of cited references | <input type="checkbox"/> Terminal Disclaimer |
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| <input checked="" type="checkbox"/> Amendment in response to office action dated January 20, 2004 | <input type="checkbox"/> Petition | |
| <input type="checkbox"/> Amendment under 37 C.F.R. § 1.116 in response to final office action dated | <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Additional claims fee - Check No. in the amount of \$ | <input type="checkbox"/> Certified Copy of Priority Document(s) | |
| <input type="checkbox"/> Letter to Chief Draftsman and copy of FIGS. with changes made in red | <input type="checkbox"/> Assignment Papers (for an Application) | |
| <input type="checkbox"/> Transmittal of Formal Drawings | Remarks | |
| <input type="checkbox"/> Formal Drawings (sheets) | The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application. | |

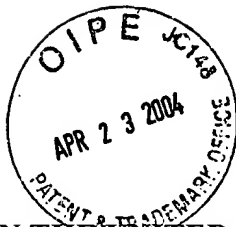
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|----------------|-------------------------|
| Firm or Individual name | Brick G. Power | Registration No. 38,581 |
| Signature | | |
| Date | April 20, 2004 | |

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Blalock et al.

Serial No.: 09/939,848

Filed: August 27, 2001

For: FIELD EMISSION TIPS AND
METHODS FOR FABRICATING THE
SAME

Confirmation No.: 3166

Examiner: S. Leurig

Group Art Unit: 2879

Attorney Docket No.: 2269-3578.1US
(92-0555.01/US)

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Deidra Pfeil

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AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment is being filed in response to the Office Action mailed on January 20, 2004, the three-month shortened statutory period for response to which expires on April 20, 2004.

Amendments to the claims are set forth in the listing of the claims, which begins on page 2 of this paper; and

Remarks start at page 7 of this paper.